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## **“Real Time Traffic Engineering of Data Networks”**

### **Priority Claimed**

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The present application seeks to claim benefit to earlier filed patent application Serial Number 60/237,320, filed on October 2, 2000, and titled “Process for Real Time Traffic Engineering of Data Networks” on behalf of Luc.

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### **Technical Field**

The present invention relates generally to digital data traffic, and relates more specifically to routing of digital data traffic with a centralized control and real-time or near real-time routing.

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## Background of the Invention

The Internet is growing at exponential rates. Competition is coming from both traditional service providers and unconventional but well funded startups. As one skilled in the art is aware, the Internet was designed as a DARPA project in the 1950's. The distributed routing architecture allowed it to function in case of nuclear disaster or other catastrophic events to the network. This *best effort* routing has served us well for its intended purposes. As customers started to rely on the Internet for their mainstream businesses, this technology became inadequate.

Traffic in a Data Communications Network, especially the Internet, is in general served with the best effort as discussed above. In essence, the traffic is not guaranteed, and will be served if there is enough resource. In networks with technologies like Asynchronous Transfer Mode (ATM) and Frame Relay, specific paths can be configured and engineered to serve the traffic demands. They are largely static and will not change for the life of the planning periods, which can be many years.

In all Data Networks, the path for each traffic demand is generally static, except the cases of failure in certain network components. A path is typically considered to be a set

of connected arcs between two nodes in a network. Arcs are typically viewed as a logical one-way connection on a link. A link, therefore, consists of two logical arcs wherein one is in each direction.

5                   In those cases, methods are in place to automatically reroute traffic on different paths. Network Congestion affects traffic and network performance but is not a criterion for traffic to be rerouted. In case of congestion, traffic is generally queued up inside switches, routers, or other network  
10 components and waits for the congestion event to clear. The waiting affects the performance of many types of network traffic such as Voice and Video. In addition, this congestion is largely contained in a local area affecting only a small numbers of network components. The rest of the network is largely  
15 unaffected and under-utilized, thus creating at times, an unbalanced network.

                  Network Congestions are unpredictable and can last from a few minutes to many hours and even many days, usually during busy hours. They can affect a different part of  
20 the network each time. Manual interventions to head-off or relieve congestions have not been very effective or consistent.

                  In spite of that, service providers today are entering into contracts with their largest customers in the form of Service

Level Agreements (SLAs) promising better services for their business.

SLAs typically guarantee a certain level of service. Failing that the customers either receive a refund, do not have to pay, or even receive a penalty payback from the service providers. These are costs for the service providers. Current technologies do not offer a method to enforce these guarantees. Network failures and congestion are common due to unpredictability of the traffic. The service providers are typically overbuilding their networks to ensure the SLAs can be met. This approach is costly and still does not provide the adequate assurance that the SLAs can really be met. SLA's have become legal contracts with no way to technically enforce them.

Therefore, the need for an efficient and flexible system to automatically control and reroute data traffic in case of congestions clearly exists. The system will improve network performance, balance the traffic load, and increase the efficiency of the existing network infrastructure.

## Summary of the Invention

As discussed above, the present invention converts business profiles and objectives into network constraints, optimizes the traffic routing based on these constraints to balance the load over the entire network. These functionalities provide solutions to many critical problems for the service providers. These Problems include such issues as network design, network performance, network availability, network planning, traffic engineering, and maximizing business objectives using existing network resources.

The present invention provides a system and method for Centralized Control and Intelligent Routing of Data Communications Traffic in Real Time or Near Real Time. This system is therefore capable in assisting meeting network demands through intelligent routing.

A demand, in this present application here forward is defined as the requirement for a certain amount of bandwidth to be reserved between an originating and a terminating node in a network. A node in a network, in turn, is defined as a switch, router, or other such physical device in a network. Furthermore, a route is defined as one or more paths for which a demand can take to traverse the network from origination to destination.

In a preferred embodiment, the system would consist of 6 main components:

- A Data Collection (DC) engine
- An Analysis (AA) engine
- A Configuration (CA) engine
- A Communication Bus (CB) engine
- A Data Store engine (DS), and
- A User Interface (UI) engine

All components exchange messages via the Communication Bus engine, which is a fast software data bus with a set of predefined protocols. The Data Collection (DC) Engine interfaces autonomously with outside sources that can be as diverged as the various network components or others data collection mechanisms that the service providers already have in place. The Data Collection collects network traffic data. It also interprets the data collected, corrects and fills in missing data, converts them into the appropriate format to be stored at the Data Store engine, and performs statistical transformation to gauge the trend of the current network and detect congestion. Once a network problem is identified, whether it is network congestion, network failure, or even a problematic network

trend, the Data Collection sends a message to invoke the Analysis (AA) engine.

5 The Analysis Engine then picks up the problem from the Data Store engine. The details of the problem include the status of the current network, the status and routing of the current set of managed traffic, and any constraint imposed by the users or the limitation imposed by the network components. The Analysis Engine then formulates the problem as a set of mathematical equations and solves them to find a new routing solution for the set of traffic under management. The solution is saved in the Data Store for downstream implementation by the Configuration (CA) engine.

10 The CA picks up the solution from the Data Store and compares it with the current configuration of various network components. It then formulates a strategy for implementing the new solution in the network with minimal disturbance to the traffic flow. The CA can communicate directly with the network components or provides information to other provisioning systems to implement the solution.

20 The User Interface provides a means for the user to enter user level information into the system and get status and feedback from the system. The UI also include an API for the system to communicate with other systems to gather

management information about desirable behavior for the system. Examples of the type of information to be entered or gathered at the UI include:

- Definition and identification of managed demands
- 5       • Automated or manual approval for implementation of solutions
- Definition of traffic priorities
- Definition of user responsibilities

10               Thus it is a feature of the present invention to provide a method and system for directly managing and controlling network equipment which activates solutions to achieve the business goals of the service providers.

15               It is another feature of the present invention to provide conversion of business profiles and objectives into network constraints.

Yet another feature of the present invention is to assist in optimizing the traffic routing based on network constraints to balance the load over the entire network.

20               Other features of the present invention include providing solutions to: 1.) Network design problems, 2) Network performance problems, 3) Network availability



problems, 4) Network Planning problems, and 5) Traffic Engineering problems. An additional feature is assisting business managers in achieving business objectives by solving network problems

5 Other objects, features, and advantages of the present invention will become apparent upon reading the following specification, when taken in conjunction with the drawings and the appended claims.

#### 10 **Brief Description of the Drawings**

**FIG. 1** is a depiction of the real time mode capabilities of the present control and routing system.

**FIG. 2** is a depiction of the main system components of the present invention.

15 **FIG. 3** is a depiction of an embodiment of the Operation Model of the present invention.

**FIG. 4** is a depiction of an embodiment the process carried out by Data Collection ("DC") Engine of the present invention.

20 **FIG. 5** is a depiction of an embodiment the process carried out by the Analysis Engine ("AA") of the present invention.

**FIG. 6** is a depiction of an embodiment the process carried out by the Configuration Process (“CA”) of the present invention.

**FIG. 7** is a depiction of an exemplary embodiment of a User Interface Process of the present invention.

**FIG. 8** is a depiction of an example routing during congestion.

### **Detailed Description of the Disclosed Embodiment**

Referring now to the drawings, in which like numerals indicate like elements throughout the several views, an embodiment of the present invention will be discussed.

We first turn to **Figure 1**, which depicts the real time mode capabilities of the preferred control and routing system **10**. **Figure 1** should provide the reader with an overview of the present invention.

System **10** is, generically thought of as one or more network servers **110**, system users **120**, and network **100**. Network servers **110**, allows one or more system users **120** to interaction with it. The number of network servers **110** typically will depend on the size of network **100** for which it is

operatively connected with network **100** and preferably controlling and managing network **100**.

Network **100** can be the size of a Local Area Network ("LAN") to the size of the Internet, or any subset of network sizes between. Preferably, network **100** is the network,  
5 or a portion thereof, for which a service provider sells to or provides access to at least one customer.

System users **120** will interact with Network Server **110** as needed, and as discussed subsequently. System  
10 user **120** is typically an administrator or a manager of network **100**.

Servers **110** are preferably capable of both monitoring and configuring a plurality of Nodes **20a-l** that are located in Network **100**. By way of example only, and not for  
15 purposes of limitation,

By way of example only, and not for purposes of limitation, the following definitions are herein defined to aid in a better understanding of the present invention:

- A node is a switch, router or other physical device in  
20 Network **100**. Nodes are operatively connected by an Arc **25**.
- An Arc **25** a logical one-way connection on a Link **27**.

- A Link **27** is a physical connection between two physical Nodes **20** in Network **100**. In **Figure 1**, Links **27** are represented by double arrows within Network **100**. However, more than two Arcs **25**, may make a Link **27**.
- 5      • A path is a set of connecting Arcs **25** between two Nodes **20** in Network **100**.
- 10      • A Demand **30a-c** is a requirement for a certain amount of bandwidth to be reserved between Originating Node **20o** and Terminating Node **20t** with its performance specification.
- Routing is one or more paths that Demand **30a-c** can take between Originating Node **20o** and Terminating Node **20t**.
- 15      • Network components include all physical parts of the network related to traffic including Nodes **20a-l** and all Arcs **25** and Links **27**.
- Congestion **60** is the inability for traffic to traverse Network **100** from Origination Node **20o** to Termination Node **20t**.
- 20      • Traffic **40** is bits, bytes, packets, telephone calls, video signals, has at least one origination node **20o** and one destination or termination node **20t**

As an example, Originating Computer **50o** desires to make a data transmission to Terminating Computer **50t**. To do this Originating Computer **50o**, which is operatively connected to Originating Node **20o**, sends data which becomes Traffic **40**. Traffic **40** has a Demand **30a** associated with it. The present invention assigns a Priority Value, P, to the Demand **30**.

As shown in the present example, Originating Node **20o** is connected to Network **100** via Node 3 **20c**. Traffic **40** will then pass from Originating Node **20o** to Node 3 **20c**. Then Traffic **40** passes from Node 3 **20c** to Node 5 **20e**. Then Traffic **40** passes from Node 5 **20e** to Node 6 **20f**. Then Traffic **40** passes from Node 6 **20f** to Node 8 **20h**. Then Traffic **40** passes from Node 8 **20h** to Node 11 **20k**. Then Traffic **40** passes from Node 11 **20k** to Terminating Node **20t**.

One can appreciate, that in the present example the route for Demand 1 **30a** is: Node O **20o** to Node 3 **20c** to Node 5 **20e** to Node 6 **20f** to Node 8 **20h** to Node 11 **20k** to Node T **20t**.

However one can also appreciate that other Traffic **40** and Demands **30b-c** are also attempting to utilize the same Nodes **20a-k**. For example, Demands 1-3 **30a-c** may “reach”

Node 6 **20f** at the same moment in time. Node 6 **20f** maybe incapable of providing for the requirements and needs of Demands **30a-c**. Therefore Congestion **60** may exist. Congestion produces a higher likelihood of packets being delayed or even dropped. As discussed above, not all Demands are equal in value to the provider of Network **100**.

The “value” of the Demands, in the eyes of User **120**, is directly related to the impact fulfilling, or not fulfilling Demand **30** to the overall revenue of Network **100** for User **120**

Therefore, the present invention provides User **120** a method to assign a priority value, P, to a customer’s demand, based on the customer’s attributes and criterion.

This prioritization is discussed in the co-pending application “**Behavioral Compiler for Prioritizing Network Traffic Based on Business Attributes**” by Nguyen, L et al., Serial No. TBA in greater detail and is hereby incorporated by reference. Provisional patent application for which the aforesaid mentioned patent application claims priority to, Serial Number 60/237,146 is also incorporated by reference.

Based on the assigned priority value, P, of Demand **30a-c**, Network **100** will give a greater preference to a Demand

30 with a higher priority assigned to it. For example, assume Demand 1 30a is assigned a priority value P1, and Demand 2 30b is assigned a priority value P2, and Demand 3 30c is assigned a priority value P3.

5 Now assume the following:  $P1 > P3 > P2$ . Therefore, upon reaching Node 6 20f at the same time, Node 6 20f might not have the resource to serve all three (3) demands at the same time, thereby creating Congestion 60. Upon recognizing this Congestion 60 the servers 110 may create Reroute 70 to reroute  
10 some or all Traffic 40 to avoid Node 6 20f to Node 12 20l.

Others in the past have attempted to have the router do traffic prioritization. However, this method exhibits considerable weakness. A single router is unable to take into account the “big picture” or take a “global view” of Congestion  
15 60 in Network 100. Specifically, these routers are incapable of being made aware of additional bandwidth available elsewhere. The option left to the router during a period of Congestion 60 is to either delay or drop traffic.

One might be able to liken this to traffic a driver  
20 experiences during their commute. A single stop light on its own is incapable of determining where to send traffic during periods of congestion. It simply will let traffic pass or delay traffic. However, a traffic monitoring system, including

cameras, helicopters and airplanes can take a view on the “big picture” and suggest alternative routes for traffic to take. Similarly, but in a digital environment the present invention reroutes utilizing a system that views all or substantially the network issues in real-time. The present invention simply reroutes traffic around points of congestion.

Therefore, if Congestion **60** is present, Demand **2 30b** would be the first demand that System **100** allows to be negatively effected by Congestion **60**. Therefore, Demand **3, 30c** would be the second negatively effected and Demand **130a** would be the last negatively effected.

Additionally, another example is that Network **100** may create Reroute **70** to reroute some or all Traffic **40** to avoid Node **6 20f** to Node **12 20l**. One can appreciate that this would change the route of Demand **1 30a**, from the route discussed prior to: Node **O 20o** to Node **3 20c** to Node **5 20e** to Node **12 20l** to Node **8 20h** to Node **11 20k** to Node **T 20t**.

During times of Congestion **60**, if Reroute **70** is a “better” route, then Demand **1 30a** which has the highest priority value, **P**, will be given the “first chance” to utilize Reroute **70**.

Servers **110** can then evaluate, following Demand **1 30a** utilizing Reroute **70**, which is the “best route,” the prior

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route or Reroute **70**. Understandably if Reroute **70** is still the best route then Demand 3 **30c** is rerouted to Reroute **70**. However, if the prior route is the "best route" again, following Demand 1 **30a** utilizing Reroute **70**, then Demand 3 **30c**, having  
5 the next highest priority value, P, utilizes the prior route. Finally, Demand 2 **30b** is similarly evaluated and utilizes the appropriate route.

Furthermore the present invention will preferably account for, in real time, pertinent changes in Network **100** and  
10 changes to Demand **30a-c** priority values, P.

System **10** collects data in real time or near real time from Network **100**. Real time or near real time is preferably an immediate or a close to immediate actions with the action being continuously or periodically taken. This is  
15 preferably done in an asynchronous matter where possible.

Hereinafter, the meaning of real time will include near real time. System **100** also preferably issues control messages to the equipment of Network **100**. When such controls are received by the equipment, the behavior of  
20 Network **100** will understandably be changed. Results of such changes are fed back into System **10** for further control as appropriate.

Now let the reader turn to **Figure 2**, which depicts an exemplary embodiment of the main system components **200**. These components include Data Collection Engine **220**, Analysis Engine **230**, User Interface **240**, Data Store **250** and Configuration **260**, all of which are preferably operatively connected to Communications Bus **210**.

Each of the above components preferably has its own functions and works cooperatively with the other components to achieve the objectives of the system.

Communication Bus (“CB”) **210** serves as a “message board” for all other components to communicate with each other using a predefined protocol. Each component wishing to communicate with one or more of the other components simply “posts” a “message” on Communication Bus **210**. All components preferably monitor Communication Bus **210** for relevant messages and act accordingly.

Now let the reader turn to **Figure 3**, which depicts an exemplary embodiment of an Operation Model of the System **300**. Data Collection Engine **220** receives data from the outside world. This data can be collected via network elements or external systems. Data collected preferably includes network traffic statistics, network faults, and network status changes.

Network traffic statistics preferably include usage

on each network interface, on each network element, and on each communication link. As discussed prior, a communication link is a physical connection between two nodes in Network 100. A node is typically a switch, router or similar physical device in Network 100.

Network faults include the up/down indications of network elements and various error conditions of such network elements.

Network status changes include routing changes; deletion, addition, or modification of network elements; and addition, deletion or modifications of managed demands.

Data collection 220 is discussed subsequent as shown in Figure 4. The data is then preferably formatted and forwarded to Data Store ("DS") 250 via Communication Bus 210. The data is then stored for later access in Data Store 250.

Turning to Figure 4, which depicts the process carried out by Data Collection ("DC") Engine 220, it is shown that Data Collection 220 collects data from Network 100. Following collection of the data, the next step is reviewing the data for completeness 410. Data might be collected directly from Network 100 or could be collected in addition, or alternatively, from Other Existing Systems 460. These other

systems may include other data collection systems, fault management systems, topology data, inventory data and the like.

Data is preferably collected from multiple sources which in turn collect individual pieces of data from thousands of network components. Do to this, not all data is received at the same speed. For example, some data may immediately arrive, and other data may be delayed and take several minutes or more to be retrieved. This creates a more and more accurate “picture of the past” until all the data for a certain time instance is collected.

To have a “snap shot” view of the health or status or the network, it is therefore important to “line up” the data in a consistent manner in terms of timing for data collected and received. One skilled in the art will appreciate that the majority of the data is “time stamped” and can be organized based on this time stamp.

Following this, the data is passed to Data Store **250** and Data Collection **220** performs the step of statistical formulation and analysis **430** to detect trends, errors and congestion in Network **100**. As discussed prior, congestion is the inability for traffic to traverse the network to its intended destination with proper performance. As defined prior, Traffic

in the present invention is digital communication which has at least one origination node and one destination node in Network **100**. This includes, without limitation, bits, bytes, packets, telephone calls, video signals and the like.

5                   Following this step, Data Collection **220** preferably obtains a view of the overall “health” of Network **100** through decision step **440** which has the computer review if there are network problems and data relating to the “health” is passed to Data Store **250**. If one or more errors or congestion events are  
10                   detected, Data Collection **220** records such detections in Data Store **250**. Following such detection, a messaging step **450** is performed which sends an activation message to Analysis Engine (“AA”) **230** via Communication Bus **210**.

15                   Following step **450** of messaging to Analysis Engine **230** on Communication Bus **210** or if decision step **440** is not fulfilled, the process once again is run. As one can see this process is preferably asynchronous to the other processes and continuous in nature.

20                   The reader should now turn to **Figure 5**, which depicts an embodiment of the Analysis Engine **230**. Following performance of sending message to Analysis Engine **230** on Communication Bus **450**, as shown on **Figure 4**, the message is received from Data Collection **220** by Analysis Engine **230** in

the first step **450'** of Analysis Engine **230** process, as shown in **Figure 5**.

Following, Analysis Engine **230** retrieves data necessary for analysis from Data Store **250**. This preferably includes:

- Network Status Data **510**,
- User Constraints **520**, and
- Network Constraints **530**.

Network Constraints **530** includes router constraints, distance constraints, and managed traffic. User Constraints **520** include priority levels for customers, traffic and any user authorization for network configuration.

The retrieved data is used in the next step **540** of problem formulations. This entails the formulation of the routing optimization problem.

The next step is the step of problem solving **550** which formulates an optimized routing solution.

One exemplary embodiment is the following routing formulation:

Minimize:

$$\sum_{uv,i,j} \frac{C_{ij}}{P^{uv}} x_{ij}^{uv} \quad \text{Eq. 1}$$

Subject to:

$$\sum_j x_{kj}^{uv} - \sum_i x_{ik}^{uv} \quad \forall k \notin \{u, v\}, \forall (u, v) \quad \text{Eq. 2}$$

$$\sum_j x_{uj}^{uv} \quad \forall (u, v) \quad \text{Eq. 3}$$

$$\sum_j T^{uv} * x_{ij}^{uv} \leq B_{ij} \quad \forall [i, j] \quad \text{Eq. 4}$$

$$x_{ij}^{uv} = 0 \text{ or } 1 \quad \forall (u, v), \forall [i, j] \quad \text{Eq. 5}$$

Where:

(u,v) = demand pair from originating point u to destination point v

[i,j] = arc i,j

$C_{ij}$  = Cost of arc[i,j]

$P^{uv}$  = penalty of demand (u,v)

$T^{uv} =$  bandwidth of demand (u,v)

$B_{ij} =$  bandwidth available on arc [i,j]

$x_{ij}^{uv} =$  variable to be solved

5 The solutions to the formulations will depend on  
formulation itself. Some formulations might be harder to solve  
than others. For example the above formulation allows for an  
Integer Programming solution in a format that one skilled in the  
art will readily recognize appropriate measures to solve it.  
Additionally, such software titles as CPLEX, Matlab, and the like  
10 will assist in providing a solution.

Following this step the data is stored in Data Store  
250 and a step of messaging to Configuration Engine 560 is  
performed. As before the message is placed on Communication  
Bus 210.

15 Now the reader should direct their attention to  
**Figure 6**, which depicts Configuration Process 260. Message  
560 from Analysis Engine 230 after being placed on  
Communication Bus 210 is able to be viewed by Configuration  
Process 260. The message is received as Message 560'.  
20 Following receipt of Message 560', the next step 610 is  
performed and Configuration Engine 260 retrieves both the  
current solution and the new solution from Data Store 250.



Following retrieval of both solutions, a computation of the difference between the two solutions are made in the same step

**610**

Following this, the next step determines the  
 5 optimal change sequences **620**, which calculates the changes as  
 to ensure minimal impact to existing traffic.

The reader should turn to **Figure 8**, which depicts  
 a simplified exemplary embodiment of a routing solution **800** in  
 response to Congestion **60**.

10 Assume that there are three (3) demands 1, 2, 3  
**820a-c**, between Node A **810a** and Node **810e**. Also assume  
 that these demands are being routed as follows:

Before Reroute:

Demand 1 uses path A-C-E  
 15 Demand 2 uses path A-C-D-E  
 Demand 3 uses path A-B-D-E

Suppose that there is a congestion on arc AC  
**830ac**. Now let us assume that the routing solution is determine  
 to be as follows:

20 Demand 1 uses path A-C-E  
 Demand 2 uses path A-B-D-E  
 Demand 3 uses path A-B-C-D-E

The difference between the solution reroute and the original routing shows two changes, that in associated with Demand 2 **820b** and Demand 3 **820c**. The routing for Demand 1 **820a** remains the same. Therefore only two changes must be made to the network routing configuration.

The next step is to determine which of the two (2) changes need to happen first. If Demand 2 **820b** is rerouted first, then it is possible that it will impact the performance of Demand 3 **820c** depending on other possible traffic in the network as both Demand 2 **820b** and Demand 3 **820c** will share routes.

Therefore it is more efficient and produces less network impact on the network if Demand 3 **820c** is rerouted first to path A-B-C-D-E. Following Demand 2 **820b** can be rerouted to path A-B-D-E.

Following this, step **630** of implement changes, Configuration Process **260** makes the changes to the elements in Network **100**, to affect the routing of various demands in Network **100**.

Now turning to **Figure 7**, which is a depiction of an exemplary embodiment of a User Interface Process **700** for the present invention, the customer reacts with the system via a User Interface **710** which will allow entry, deletion, and

modification of user related data and storage of such user related data in Data Store **250**. As one skilled in the art will appreciate, User Interface **710** can take a multitude of forms, including a Web (HTML) interface, a Command Line Interface (CLI), a Graphic User Interface (GUI), or an Application Programming Interface (API).

The main purpose of the User Interface Process **700** is to allow the user to enter into the User Interface **710** constraints of User Constraints **520** and Network Constraints **530** as discussed above. Additionally such other constraints may be entered by the user into the User Interface including System Administration **780**, Demand Identification and Definitions **740**, Customer Identification and Definition **750**, Services Identification and Definition **760**, and Definition of Traffic Priority **770**.

The preceding embodiment is given by way of example only, and not by way of limitation to the invention. The true essence and spirit of this invention are defined in the appended claims, and is not intended that the embodiment of the invention preceding should limit the scope thereof. It will be appreciated that the present invention can take many forms and embodiments. Variations and combinations thereof evident to

one skilled in the art will be included within the invention defined by the claims.

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